

# **Universal Energy Solutions, Inc. Mark T. DuBoise Marcae Taurus Dan McGinnity Russ Friesner Dave Rolla d/b/a Universal Energy Company Mike Campa d/b/a Universal Energy Solutions**

## **Notice of Intent to Issue Permanent Cease and Desist Order**

DATE: March 17, 2003 RESPONDENTS: Universal Energy Solutions, Inc., Mark T. DuBoise, Marcae Taurus, Dan McGinnity, Russ Friesner, Dave Rolla d/b/a Universal Energy Company and Mike Campa d/b/a Universal Energy Solutions all of Yorba Linda, California ORDER: Notice of Intent to Issue Permanent Cease and Desist Order issued to respondents, for allegedly promoting via telephone solicitation interests in two different oil and/or gas wells of Key Resource Group, LLC. The Notice alleges that none of the respondents are properly registered as broker-dealers or agents under the Kansas Securities Act.

## **Consent Order**

DATE: October 30, 2003 RESPONDENTS: Dave Rolla d/b/a Universal Energy Company and Mike Campa d/b/a Universal Energy Solutions all of Yorba Linda, California ALLEGATIONS: unregistered broker-dealers or agents ORDER: Respondents entered into a Consent Order whereby respondents were ordered to cease and desist from engaging in business in Kansas as a broker-dealer, agent, investment adviser or investment adviser representative unless and until they are properly registered under the Kansas Securities Act.

**Notice to Investors:** If you are not a resident of Kansas and have been affected by actions that are similar to those described in this synopsis, you should consider contacting your state securities regulator for assistance. To locate your securities regulator, go to the [North American Securities Administrators Association](#) website. If you choose to contact your state securities regulator, please inform him or her of the action taken by the State of Kansas.